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Description

Method for determining threshold values for traffic control in communication networks with admission control

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The invention relates to a method for setting a threshold value for traffic control in a communication network comprising nodes and links using threshold-value-based admission controls on the basis of an expected traffic volume.

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Traffic control or limiting - data traffic as well as voice traffic - is a central problem for connectionlessly operating communication networks when traffic with high Quality of Service requirements such as voice data is to be transmitted.

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Suitable traffic control mechanisms are currently under examination by network specialists, switching technologists and Internet experts.

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Possibly the most important development in the network field currently is the convergence of voice and data networks. In future, transmission services having different requirements shall be transmitted over the same network, it becoming apparent that a large portion of communication over networks will in future be handled via connectionlessly operating data

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networks whose most important representatives are the so-called IP networks (IP: Internet Protocol). The transmission of so-called real-time traffic such as voice or video data over data networks while maintaining Quality of Service features is a prerequisite for successful network convergence. When

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transmitting real-time traffic over data networks, tight limits must be adhered to particularly in respect of the delay times and loss rate of data packets.

One possibility for real-time transmission over data networks while preserving Quality of Service features is to switch a connection through the entire network, i.e. to determine and reserve the necessary resources in advance of the service. The provision of adequate resources to guarantee the service attributes is then monitored for each connection segment or "link". Technologies operating in this manner include ATM (asynchronous transfer mode) or the MPLS protocol (MPLS: Multiprotocol Label Switching) which provides for the determining of paths through IP networks. However, these methods have the disadvantage of high complexity and - compared to conventional data networks - low flexibility. State information concerning the flows switched through the network must be stored or checked for the individual links.

A method which avoids the complexity of link-by-link checking or control of resources is the so-called diff-serv concept. This is termed a "stateless" concept, i.e. no state information concerning connections or flows along the transmission path needs to be held available. Instead of this, the diff-serv only provides for admission control at the network edge. For this admission control, packets can be delayed according to their service attributes, and - if necessary - discarded. The terms traffic conditioning or policing, traffic shaping and traffic engineering are also used in this context. The diff-serv concept thus permits differentiation of traffic classes - these are frequently referred to as classes of service - which can be prioritized or handled with lower priority according to the transmission requirements. Ultimately, however, the preservation of service attributes for real-time traffic cannot be guaranteed for data

transmission using the diff-serv concept. No mechanisms are available for adapting the real-time traffic transmitted over the network in such a way that the preservation of the service attributes could be reliably assessed.

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It is therefore desirable to control the real-time traffic transmitted over a data network well enough to ensure that, on the one hand, service attributes can be guaranteed and, on the other hand, that optimum resource utilization does not take  
10 place at the expense of the complexity of connections switched through the network.

The object of the invention is to specify an optimized method for defining threshold values for traffic limiting in a  
15 communication network.

This object is achieved by a method according to claim 1.

We proceed on the assumption of communication network  
20 comprised of links and nodes (e.g. an IP (Internet Protocol) network) for which at least part of the traffic reaching said communication network (e.g. the traffic of a traffic class) is subjected to admission control by means of a threshold value, said threshold value specifying a limit, the exceeding of  
25 which is prevented by rejection of traffic subjected to said admission control. This allows the prevention of bottlenecks due to excessively high traffic volume in the communication network which would cause a reduction in the Quality of Service of the transport services provided by the  
30 communication network. It is assumed that, by means of the threshold values used, different admission controls are carried out for the communication network depending on the

routes within the network on which the traffic is to be transported. One example of such admission controls are controls which provide a threshold value for a pair of ingress and egress nodes. Traffic which is to be transported between  
5 this ingress node and the egress node undergoes admission control using the corresponding threshold value. If the threshold value is exceeded, rejection then takes place, while any other traffic which is to be transported between another pair of nodes is admitted. Another example is admission  
10 controls which use two threshold values, one being assigned to the ingress node and the other to the egress node. Traffic is then admitted if the result of admission control is positive for both the ingress node and the egress node.

15 The invention relates to determining the threshold values for the admission controls. Any such determining must be fair in the sense that some transmission directions within the network are not disadvantaged compared to others, i.e. the traffic transported in one direction is not more likely to be rejected  
20 than that of another direction. For this purpose a traffic volume is assumed (which is quantifiable e.g. by means of a traffic matrix) that has been determined e.g. from empirical values or measured values. It can be assumed, for example, that the actual traffic varies around this expected traffic  
25 volume (e.g. variations which follow a Poisson distribution). By means of formulas known from the literature (e.g. Kaufman and Roberts in James Roberts, Ugo Mocci, and Jorma Virtamo, Broadband Network Teletraffic - Final Report of Action COST 242, Springer, Berlin, Heidelberg, 1996), it is possible to  
30 calculate the probability  $p_b$  with which traffic subjected to admission control using a threshold value (or budget)  $b$  will be rejected. This probability will also be referred to below

as the blocking probability. A fair setting of limits is understood here as defining threshold values resulting in blocking probabilities that are as equal as possible for the different admission controls.

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According to the invention, existing spare capacity in the communication network is made available for traffic, a distinction being drawn for the traffic to be transmitted according to the admission control or more specifically the corresponding threshold value, i.e. the traffic streams subjected to the same admission control, e.g. because they have identical ingress and egress nodes, are considered collectively. The spare capacity is made available for particular traffic streams by spare capacity being assigned to the corresponding threshold value(s). This assignment corresponds to increasing the threshold values, i.e. reducing the blocking probability (for a given traffic volume). In order to avoid unequal blocking probabilities as far as possible, a portion of transmission capacity (also referred to below as a link capacity increment) is assigned to the threshold value with the highest blocking probability if sufficient spare capacity is available on the links. If the blocking probability is the same, the traffic volume to be transported on the paths associated with the admission control or threshold value can be used as the criterion (the higher traffic volume is the tiebreaker), the links used for transporting the traffic admitted on the basis of the admission control being considered. For example, in the case of multipath routing, some of the traffic additionally admitted to the network on the basis of the assignment of the portion of transmission capacity generally accrues on the individual links. This can be taken into account by checking

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whether sufficient spare bandwidth is available on the individual links.

The inventive assignment of a portion of transmission capacity to a threshold value can be carried out step-by-step for a set of threshold values (e.g. for all threshold values), it being advisable to re-calculate the corresponding blocking probability after an assignment of a portion of transmission capacity, so that another threshold value (with a lower blocking probability) receives a bandwidth or capacity allocation in the next step. It is further advisable, in the subsequent steps, to no longer consider threshold values for which assignment of a portion of transmission capacity was not possible in the absence of spare capacity on the links, i.e. to remove these values from the set of threshold values considered.

According to further developments (claims 5-9) the portion of transmission capacity, i.e. the link capacity increment, is advantageously set. In the case of an iterative assignment of transmission capacity to the threshold values, it is desirable to use as large portions of transmission capacity as possible in order to limit the number of iterations. On the other hand, a transmission capacity portion must not be so large as to leave insufficient spare bandwidth for a fair assignment of transmission capacity to the other threshold values. A useful approach is to set the link capacity increment proportional to the expected traffic volume (which is subjected to the corresponding admission control using the threshold value) or equal to a minimum link capacity increment (the latter e.g. if the otherwise determined link capacity increment is smaller than the minimum link capacity increment). The link capacity

increment can, for example, be set equal or proportional to the expected traffic volume multiplied by a relative spare bandwidth present on a link (spare bandwidth divided by traffic volume to be carried on the link). The minimum of the  
5 bandwidth available on the links used can then be assigned to the threshold values.

In this way the spare bandwidth is apportioned according to the traffic volume to be transported (which is assigned to the  
10 individual admission controls or threshold values). This apportioning can be improved still further in terms of equal blocking probabilities by checking, when setting the link capacity increment for a threshold value, whether the same or lower blocking probabilities are to be achieved through the  
15 apportionment of spare bandwidth for the threshold values still considered by the corresponding assignment of their portion of transmission capacity or link capacity increment and, if not, by reducing the link capacity increment for the threshold value considered until this condition is satisfied.

20 According to other advantageous further developments (claims 10-13), disturbance scenarios are considered. It is desirable, not only during normal operation but also in the event of disturbances or failures, to have limited the traffic volume  
25 in the network such that no overload situations can occur e.g. as a consequence of traffic redistribution in response to a failure. For this purpose a set of disturbance scenarios is considered which are caused e.g. by failure of a link or node. For example, the apportionment of the available bandwidth of  
30 the individual links to the threshold values in the event of the individual disturbance scenarios can be considered and the

link capacity increment can be defined according to the minimum for all such incidents.

For incorporating disturbance scenarios, the link capacity  
5 increment can also be set proportional to the traffic volume to be transported by [making it] equal or proportional to the expected traffic volume multiplied by a disturbance-scenario-dependent spare capacity on a link divided by the traffic to be transported over the link in the event of a disturbance and  
10 which is subjected to admission control using the threshold values considered. A corresponding determination can be carried out for all the links which are subjected to an admission control with the currently considered threshold value during transport. The link capacity increment used for  
15 the assignment (assuming sufficient bandwidth) then emerges as the minimum of the link capacity increments, taking the minimum in respect of the disturbance scenarios and links. This ensures that for each (i.e. including the "worst case") disturbance scenario, no overload occurs on all the links used  
20 for transport. If the minimum of the link capacity increments falls below a minimum link capacity increment, the minimum link capacity increment can be used instead of the determined link capacity increment.

25 The subject matter of the invention will now be explained in greater detail using an example with reference to the accompanying drawings in which:

Fig. 1: shows a flowchart for a method for assigning spare  
30 capacity to a threshold value for admission control

Fig. 2: shows a flowchart for a method for setting a portion of transmission capacity for a method according to Fig. 1



Fig. 3 shows a flowchart for an accelerated method for setting portions of transmission capacity

We proceed on the assumption of a communication network which  
5 subjects traffic to be transported to admission controls. In  
the context of the example, admission controls are  
differentiated according to the ingress point and egress point  
of the traffic to be transported, each pair of ingress and  
egress points (i.e. two edge points or edge nodes) being  
10 assigned a threshold value (or budget) for the permissible  
traffic. This threshold value corresponds to a maximum  
transmission capacity available to the traffic to be  
transported between the associated end points. The described  
procedure for limiting the transmission capacity allows better  
15 distribution and control of the traffic streams transported in  
the communication network.

The issue addressed by the invention is how the threshold  
values for the admission controls are to be suitably selected,  
20 i.e. which capacities are to be reserved on the links of the  
communication network for the individual admission controls,  
i.e. for the traffic transported between the associated edge  
points.

25 In order to determine suitable threshold values, an expected  
traffic volume is assumed (e.g. described by a traffic matrix)  
which provides an assessment of the average traffic to be  
transported between two edge points. It is additionally  
assumed that this expected traffic volume exhibits variations  
30 which are taken into account e.g. by means of a Poisson  
distribution around the mean value. On the basis of the  
distribution of the expected traffic volume around a mean

value, the probability of the non-admission of traffic can be calculated by means of a threshold value for an admission control. The expression blocking probability will now also be used to convey this.

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Fig. 1 shows how capacity can be assigned to a threshold value or rather to the corresponding pair of edge points, spare capacity on the links being successively assigned to threshold values. The set of threshold values considered in a step is denoted by  $B_{\text{hot}}$ . The topology of the communication network, the routing used in the network (e.g. single-path routing or multipath routing) and the type of admission controls or rather threshold values used are implicitly fed into the method. The method according to Fig. 1 is executed as follows:

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As long as the set of considered threshold values  $B_{\text{hot}}$  is not empty, the threshold value (or budget)  $b^*$  having the largest blocking probability is considered. If there are threshold values with the same blocking probability, the expected traffic volume between the associated edge points (or rather the portion of the expected traffic volume which is subjected to an admission control with the corresponding threshold value) can be used as another selection criterion (the threshold value having the lowest blocking probability and having the highest expected traffic volume is selected). A

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portion of transmission capacity, i.e. a link capacity increment  $c_u^{\text{inc}}$  is then determined or set. If sufficient spare capacity for the corresponding capacity increase is available for all the links  $l$  of the set  $E$  of links which are used for transmitting traffic which admitted of the basis of admission control by means of the threshold value  $b^*$ , the capacity assigned or allocated to the threshold value is increased by

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the capacity increment  $c_u^{inc}$ . Expressed mathematically, for all the links  $l$  of the set  $E$  the condition

$$(1) \quad c_u^{free}(l) \geq c_u^{inc} * u(l, b^*)$$

must be fulfilled, where  $u(l, b^*)$  is the portion of the traffic admitted as part of admission control by means of  $b^*$  which is transmitted over the link  $l$ . In the case of single-path routing,  $u(l, b^*) = 1$ . In the case of multipath routing, on the other hand,  $u(l, b^*)$  is generally less than 1. If the above condition (1) is fulfilled for the links  $l$  of  $E$ , the capacity assigned to the threshold value  $b^*$  is increased accordingly:

$$(2) \quad c_u(b^*) = c_u(b^*) + c_u^{inc}.$$

Otherwise  $b^*$  is no longer considered for the following steps or iterations:

$$(3) \quad B_{hot} = B_{hot} / b^*.$$

When the set  $B_{hot}$  is empty, the method is terminated, i.e. capacities  $c_u(b)$  have been allocated to the threshold values  $b$ .

The method described in Figure 1 can be accelerated by maximizing the portion of transmission capacity  $c_u^{inc}$ . A possibility exists therein of setting the portion of transmission capacity  $c_u^{inc}$  for the threshold value  $b$  proportional to the average value  $a(b)$  of the traffic subjected to admission control with the threshold value  $b$ , e.g.

$$(4) \quad c_u^{inc} = \max(1, (q(l) * a(b) / h))$$

where 1 stands for a minimum link capacity increment,

$$q(l) = c_u^{free}(l) / a_{hot}(l), \text{ where}$$

$$a_{hot}(l) = \sum a(b), \text{ sum over all } b \text{ of } B_{hot}(l) \text{ and}$$

$h$  is a control factor by means of which the method can be adjusted and the number of steps regulated. A possible selection for  $h$  is 2.  $q(l)$  is a type of link-dependent measure

for the ratio between spare bandwidth  $c_u^{free}(l)$  on this link and the traffic  $a_{hot}(l)$  accumulated over threshold values  $b$ , taking account of those considered threshold values  $B_{hot}$  which are responsible for admission controls for traffic transmitted  
 5 over the link  $l$  (i.e.  $B_{hot}(l)$ ).

This procedure does not necessarily result in a set of threshold values with approximately equal blocking probabilities (corresponding to a fair setting of limits)  
 10 because threshold values  $b$  with a small  $a(b)$  need relatively more bandwidth to achieve corresponding blocking probabilities.

One approach for improving the determination described by (4)  
 15 of a portion of transmission capacity in respect of a fair setting of threshold values is to calculate safe portions of transmission capacity [in such a way] that an assignment of the portion of transmission capacity still permits assignments to the other threshold values considered, allowing a  
 20 comparable blocking probability to these other threshold values. A possible implementation is described in Fig. 2, where  $p_b^*$  denotes the blocking probability of the threshold value  $b^*$  which depends on the traffic volume  $a(b^*)$  expected in the case  $b^*$  and the capacity  $c_u(b^*)$  assigned to  $b^*$  or rather  
 25 the assigned capacity increased by the link capacity increment  $c_u(b^*) + c_u^*$ . The link capacity increment  $c_u^*$  is initially determined according to (4) (with  $h = 1$ ) and then decremented  
 (5)  $c_u^* = q^{dec} * c_u^*$ , where  $q^{dec}$  is a factor less than 1, until the blocking probability  $p_b^*$  is higher than the blocking  
 30 probabilities which the other considered threshold values  $b$  can attain for a transmission capacity assignment adapted according to  $a(b)$ . It is therefore ensured using the link

capacity increment or portion of transmission capacity calculated in Fig. 2 that sufficient spare capacity is still available for the other considered threshold values  $b$  from  $B_{hot}(l)$  for comparable blocking probabilities  $p_b^b$ .

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A more complex procedure compared to Fig. 2 for setting a portion of transmission capacity for a threshold value  $b^*$  is the selection

$$(6) \quad c_u^{inc} = \max(1, \min(q(l) \cdot a(b^*)/h)),$$

10 taking the minimum  $\min$  over all the links  $l$  for which  $u(l, b^*) > 0$ . The use of (6) in the method according to Fig. 1 is a compromise between fairness and complexity. By selecting  $h$ , a situation-dependent adaptation can taken place.

15 Fig. 3 shows a modification of the method illustrated in Fig. 1, whereby only safe portions of transmission capacity  $CapInc(l)$  ( $CapInc$ : Calculation of a suitable link capacity increment) are used which are calculated according to Fig. 2 or formula (6).

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The subject matter of the invention can be extended to compensate for failures or disturbances. The idea is to provide capacity or more specifically bandwidth for such eventualities. Let  $S$  be a set of disturbance scenarios, caused  
 25 by the failure of at least one link  $l$  or node. The function  $u(s, l, b)$  shall then describe which portion of the traffic subjected to admission control using threshold value  $b$  is routed via the link  $l$  in the event of a disturbance  $s$ . By means of the method shown in Fig. 1, portions of transmission  
 30 capacity  $c_u(s, b)$  can now be calculated for all the disturbance scenarios  $s \in S$  as a function of the disturbance scenarios  $s \in$

S and a minimum can be taken therefrom, i.e.  $c_u(b) = \min_{s \in S} c_u(s, b)$ .

A less complex procedure for allowing for disturbance

5 scenarios for determining or setting the portion of transmission capacity or link capacity increment  $c_u^{inc}$  is given below:

We put

(7)  $c_u^{free}(s, l) = c_u(l) - \sum c_u(b) * u(s, l, b)$ , the sum running  
 10 over all  $b \in B_{hot}$ . A link capacity increment  $c_u^{free}(s, l)$  is defined as a function of disturbance scenario  $s$  and the link  $l$  by subtracting the capacities already assigned to threshold values  $b$  on the link  $l$  from the capacity  $c_u(l)$  available on the link  $l$  (for budget or threshold value  $b$  the assigned capacity  
 15  $c_u(b)$  and  $u(s, l, b)$  is the pro-rata utilization of the link  $l$  in the disturbance scenario  $s$ ). The mean aggregated data or traffic streams coming from the examined threshold values  $B_{hot}$  and relating to link  $l$  and disturbance scenario  $s$  are

(8)  $a_{hot}(s, l) = \sum a(b) * u(s, l, b)$ , where the sum runs over all  
 20 the  $b \in B_{hot}$ . The ratio  $q(s, l)$  of spare capacity to traffic to be transmitted is then given by

$$(9) \quad q(s, l) = c_u^{free}(s, l) / a_{hot}(s, l).$$

Finally we get

(10)  $c_u^{inc} = \max(1, \min(q(s, l) * a(b) / h))$ ,  
 25 taking the minimum  $\min$  over all the disturbance scenarios  $s$  and over all the links  $l$  for which  $u(s, l, b) > 0$ . Applying (10) in the method described in Fig. 1 the condition

$$(1) \quad c_u^{free}(l) \geq c_u^{inc} * u(l, b^*)$$

becomes

$$30 \quad (11) \quad c_u^{free}(s, l) \geq c_u^{inc} * u(s, l, b^*).$$